

# Another look at idempotent states (on quantum permutation groups)

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## Questions

- 1 Of philosophy:

*What is a quantum permutation? Is there an intuition for quantum permutations?*

- 2 Of random walk theory:

*What are (necessary and sufficient) conditions on the support projection of a state  $\varphi$  on  $C(\mathbb{G})$  for:*

$$\varphi^{*k} \rightarrow h?$$

- 3 Of quantum permutation groups:

*Is the classical permutation group a maximal quantum subgroup of the quantum permutation group?*

## The quantum permutation group

### Definition (Wang)

$$C(S_N^+) := C^*(u_{ij} : u \text{ an } N \times N \text{ magic unitary}),$$

$$\Delta(u_{ij}) = \sum u_{ik} \otimes u_{kj}.$$

If  $v \in M_N(C(\mathbb{G}))$  is a magic fundamental representation:

$$\pi : C(S_N^+) \rightarrow C(\mathbb{G}) \implies \mathbb{G} \subseteq S_N^+.$$

Let  $\mathbb{1}_{j \rightarrow i}(\sigma) = \delta_{i, \sigma(j)}$ : the entries of magic representation

$$v = (\mathbb{1}_{j \rightarrow i})_{i,j=1}^N$$

generate  $C(S_N)$ , and so  $S_N \subseteq S_N^+$ .

## The Gelfand–Birkhoff picture

Three interpretations for quantum permutations:

- 1 The Gelfand Picture —  $S_N^+$  is a virtual object, the ‘abstract spectrum’ of  $C(S_N^+)$ ; quantum permutations don’t exist.
- 2 The QIT Picture — let  $X = (V, E)$  be a finite graph:

$$ud_X = d_X u \implies “u \in G^+(X)”.$$

- 3 Gelfand–Birkhoff Picture [M2] —

- $\varphi \in S_N^+ \iff$  state  $\varphi$  on  $C(S_N^+)$  (and therefore  $S_N^+ = S(C(S_N^+))$ ),
- $\mathbb{P}[\varphi(j) = i] := \varphi(u_{ij})$ , the matrix of which is the *Birkhoff slice*  $\Phi(\varphi)$ ,
- wave-function collapse  $\varphi \mapsto \tilde{q}\varphi$  follows measurement:

$$\tilde{q}\varphi(f) = \frac{\omega_\varphi(qfq)}{\omega_\varphi(q)} \quad (f \in C(S_N^+), q \in \mathcal{P}(C(S_N^+)^{**})).$$

## Van Daele's Haar existence proof

Proof. ( $\mathbb{G} = \mathcal{S}(C(\mathbb{G}))$ ).

- 1 Let  $\varphi \in \mathbb{G}$ : as  $\mathbb{G}$  is a non-empty, convex, weak\*-compact, and closed under convolution the Cesàro averages of  $\{\varphi, \varphi^{*2}, \dots, \varphi^{*n}\}$  have a limit point  $\phi_\varphi$  such that  $\phi_\varphi \star \varphi = \phi_\varphi = \varphi \star \phi_\varphi$ .
- 2 For each positive linear functional  $\omega$  on  $C(\mathbb{G})$  define:

$$K_\omega = \{\varphi \in \mathbb{G} : \omega \star \varphi = \omega(1_{C(\mathbb{G})})\varphi\}.$$

- 3 Assume the intersection of all the  $K_\omega$  is empty.
- 4 Then the union of the complements  $K_\omega^c := \mathbb{G} \setminus K_\omega$  is  $\mathbb{G}$ .
- 5 Compactness of  $\mathbb{G}$  gives a finite subcover  $\{K_{\omega_i}^c\}_{i=1}^n$  of  $\mathbb{G}$  and thus the intersection of the  $K_{\omega_i}$  is empty.
- 6 But Van Daele showed  $K_{\omega_1 + \dots + \omega_n}$  is in this intersection.
- 7 The intersection of all the  $K_\omega$  is non-empty.

## Pal sets

### Definition

A *Pal set* is a non-empty convex weak\*-closed subset  $\mathbb{S} \subseteq \mathbb{G}$  that is closed under convolution.

### Theorem

A *Pal set*  $\mathbb{S} \subseteq \mathbb{G}$  contains a unique state  $\phi_{\mathbb{S}} \in \mathbb{S}$  such that for all  $\varphi \in \mathbb{S}$ :

$$\phi_{\mathbb{S}} \star \varphi = \phi_{\mathbb{S}} = \varphi \star \phi_{\mathbb{S}}.$$

### Proof.

This is exactly Van Daele's Haar existence proof, except rather than defining a  $K_{\omega}$  for each positive linear functional  $\omega$  on  $C(\mathbb{G})$ , they are defined only for each  $\omega \in \text{cone}(\mathbb{S})$ . □

## Non-injectivity

### Definition

Let  $\mathbb{G}$  be a compact quantum group. Where:

$$\{u_{ij}^\alpha : i, j = 1, \dots, d_\alpha, \alpha \in \text{Irr}(\mathbb{G})\}$$

are matrix coefficients of mutually inequivalent irreducible unitary representations, a *central state*  $\varphi \in \mathbb{G}$  is one such that for all  $\alpha \in \text{Irr}(\mathbb{G})$  there exists  $\varphi(\alpha) \in \mathbb{C}$  such that:

$$\varphi(u_{ij}^\alpha) = \varphi(\alpha)\delta_{i,j}.$$

### Proposition

*Let  $\mathbb{G}$  be a quantum group. The set of central states  $\mathbb{G}_0$  is a Pal set.*

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Let  $\mathbb{G}$  be a quantum group. The set of central states  $\mathbb{G}_0$  is a Pal set.



## Non-injectivity & fix

But the  $\mathbb{G}_0$ -invariant idempotent is the Haar state! That is there are multiple Pal sets giving the same idempotent; e.g.

$$\{h\} \subset \mathbb{G}_0 \subseteq \mathbb{G}.$$

The largest Pal set  $\mathbb{S} \subseteq \mathbb{G}$  containing  $\mathbb{S}$ -invariant idempotent  $\phi$  is:

$$\mathbb{S}_\phi := \{\varphi \in \mathbb{G} : \varphi \star \phi = \phi = \phi \star \varphi\}.$$

### Definition

A *quasi-subgroup* is a subset of the state space of the form  $\mathbb{S}_\phi$  for an idempotent state  $\phi$  on  $C(\mathbb{G})$ ; the *quasi-subgroup generated by  $\phi$* .

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## Example: Stabiliser quasi-subgroups

Given a finite group  $G \subseteq S_N$  and a partition  $\mathcal{P} = B_1 \sqcup \cdots \sqcup B_k$  of  $\{1, \dots, N\}$ :

$$G_{\mathcal{P}} = \{\sigma \in G : \sigma(B_p) = B_p, 1 \leq p \leq k\}.$$

Define, for  $\mathbb{G} \subseteq S_N^+$ :

$$\varphi \in \mathbb{G}_{\mathcal{P}} \iff \Phi(\varphi) = \begin{bmatrix} \Phi_{B_1}(\varphi) & 0 & \cdots & 0 \\ 0 & \Phi_{B_2}(\varphi) & \cdots & 0 \\ \vdots & \vdots & \ddots & \cdots \\ 0 & 0 & \cdots & \Phi_{B_k}(\varphi) \end{bmatrix},$$

where  $\Phi_{B_p}(\varphi) = [\varphi(u_{ij})]_{i,j \in B_p}$ .

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## The bidual

Let  $\omega_\varphi$  be extension of  $\varphi \in \mathcal{S}_N^+$  to a state on  $C(\mathcal{S}_N^+)^{**}$ :

$$\mathfrak{N}_\varphi := \{f \in C(\mathcal{S}_N^+)^{**} : \omega_\varphi(|f|^2) = 0\} \implies \mathfrak{N}_\varphi = C(\mathcal{S}_N^+)^{**} q_\varphi.$$

### Definition

The *support projection* of  $\varphi \in \mathcal{S}_N^+$  is  $p_\varphi := \mathbb{1}_{\mathcal{S}_N^+} - q_\varphi$ .

Where  $\Delta^{**} : C(\mathbb{G})^{**} \rightarrow (C(\mathbb{G}) \otimes C(\mathbb{G}))^{**}$ :

### Definition

A group-like projection  $p \in C(\mathbb{G})^{**}$  is a non-zero projection such that:

$$\Delta^{**}(p)(\mathbb{1}_{\mathbb{G}} \otimes p) = p \otimes p.$$

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## Group-like projections

### Proposition/Theorem/Corollary

- ① *If  $\varphi_1, \varphi_2 \in \mathbb{G}$  are supported on a group-like projection  $p \in C(\mathbb{G})^{**}$ , then so is  $\varphi_1 \star \varphi_2$ .*
- ② *Suppose that an idempotent state  $\phi \in \mathbb{G}$  has group-like support projection  $p \in C(\mathbb{G})^{**}$ . Then the quasi-subgroup generated by  $\phi$ :*

$$S_\phi \subseteq \{\varphi \in \mathbb{G} : \omega_\varphi(p) = 1\}.$$

- ③ *Suppose  $\mathbb{G}$  is non-coamenable. Then the support projection  $p_h \in C(\mathbb{G})^{**}$  of the Haar state is not a group-like projection. Furthermore:*

$$\{\varphi \in \mathbb{G} : \omega_\varphi(p_h) = 1\} \subsetneq S_h.$$

## Intermediate quasi-subgroups

Is there an *exotic* intermediate quasi-subgroup:

$$S_N \subsetneq \mathbb{S}_N \subsetneq S_N^+?$$

Can associate to each 'genuinely quantum' permutation  $\varphi$ :

$$\varphi|_{J_{\text{comm}}} \neq 0 \quad \rightsquigarrow \quad S_N \subsetneq \mathbb{S} \subseteq S_N^+.$$

Associated idempotent  $\phi$  either:

- ① a non-Haar idempotent; or,
- ② the Haar idempotent from an exotic quantum subgroup  $S_N \subsetneq \mathbb{G}_N \subsetneq S_N^+$  ( $N \geq 6$ ); or
- ③ the Haar state on  $C(S_N^+)$ .

If it is always (1) or (3) (or always (3)), then the maximality conjecture holds.



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## Supports of characters

Recall  $\Phi(\varphi) = [\varphi(u_{ij})]_{i,j=1}^N$ . In the below,  $\sigma, \tau \in S_N$ :

### Proposition

- ①  $\varphi \in \text{hom}(\mathcal{C}(S_N^+), \mathbb{C}) \iff \Phi(\varphi) = P_\sigma \iff \varphi = \text{ev}_\sigma$ ;  
( $\text{ev}_\sigma := \delta^\sigma \circ \pi_{ab}$ , where  $\pi_{ab}(u_{ij}) = \mathbb{1}_{j \rightarrow i}$ ),
- ② support of  $\text{ev}_\sigma$ ,  $p_\sigma$ , is central and  $p_\sigma p_\tau = \delta_{\sigma, \tau} p_\sigma$ ,
- ③  $p_\sigma = u_{\sigma(1),1} \wedge u_{\sigma(2),2} \wedge \cdots \wedge u_{\sigma(N),N}$ ,
- ④  $\Delta^{**}(p_\sigma)(\mathbb{1}_{S_N^+} \otimes p_\tau) = p_{\sigma\tau^{-1}} \otimes p_\tau$ .

### Theorem

Let  $p_{S_N} = \sum_{\sigma \in S_N} p_\sigma$ . Then:

$$\Delta^{**}(p_{S_N})(\mathbb{1}_{S_N^+} \otimes p_{S_N}) = p_{S_N} \otimes p_{S_N}.$$

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## Exotic quasi-subgroups

### Corollary

Suppose  $h_{S_N}$  is the state on  $C(S_N^+)$  defined by  $h_{C(S_N)} \circ \pi_{ab}$ . Then

$$\varphi \star h_{S_N} = h_{S_N} = h_{S_N} \star \varphi \implies \omega_\varphi(p_{S_N}) = 1.$$

### Theorem

Let  $\varphi \in S_N^+$  be genuinely quantum,  $\omega_\varphi(p_{S_N}) < 1$ . Form the idempotent  $\phi_\varphi$  from the Cesàro means of  $\varphi$ , and then define:

$$\phi := w^* - \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=1}^n (h_{S_N} \star \phi_\varphi)^{\star k}.$$

Then  $S_N \subsetneq S_\phi \subseteq S_N^+$ .

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## Epilogue

*This question brings to the fore something that is fundamental and pervasive: that what we [mathematicians] are doing is finding ways for people to understand and think about mathematics.*

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### Theorem

*Suppose that  $\phi$  is an idempotent state on  $C(\mathbb{G})$ .*

- (i) If  $\phi$  is a (universal) Haar idempotent (i.e. from a quantum subgroup  $\mathbb{H} \subseteq \mathbb{G}$ ), then  $\mathbb{S}_\phi$  is closed under wave-function collapse.*
- (ii) If  $\phi$  is a non-Haar idempotent with group-like support projection, then  $\mathbb{S}_\phi$  is not closed under wave-function collapse.*

*Moral: quantum subgroups are the quasi-subgroups that are closed under wave-function collapse.*

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## Epilogue: Closed under wave-function collapse

Quantum group fixing bottom card:

$$u = \text{diag}(u^{S_{N-1}^+}, \mathbb{1}_{S_{N-1}^+}) \implies S_{N-1}^+ \subset S_N^+,$$

with associated idempotent  $h_{N \rightarrow N} := h_{C(S_{N-1}^+)} \circ \pi$ .



If  $\varphi \star h_{N \rightarrow N} = h_{N \rightarrow N} = h_{N \rightarrow N} \star \varphi$ :

- 1  $\varphi = \varphi_0 \circ \pi$ ,
- 2  $\varphi(u_{NN}) = 1$ ,
- 3 if  $q \in \mathcal{P}(C(S_N^+)^{**})$  such that  $\omega_\varphi(q) > 0$ ,

$$\tilde{q}\varphi(u_{NN}) = \frac{\omega_\varphi(q u_{NN} q)}{\omega_\varphi(u_{NN})} = 1.$$

- 4  $\tilde{q}\varphi = \psi \circ \pi$  and  $\tilde{q}\varphi \star h_{N \rightarrow N} = h_{N \rightarrow N} = h_{N \rightarrow N} \star \tilde{q}\varphi$ .

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## Epilogue: Not closed under wave-function collapse

Quasi-subgroup fixing bottom card:

$$\mathbb{S}_{N \rightarrow N} := \{\varphi \in \mathbb{S}_N^+ : \varphi(u_{NN}) = 1\},$$

with associated idempotent

$$\phi_{N \rightarrow N} := \frac{h(u_{NN} \cdot u_{NN})}{h(u_{NN})}.$$



But  $\mathbb{S}_{N \rightarrow N}$  is not closed under wave-function collapse:

$$\tilde{u}_{11} \phi_{N \rightarrow N}(u_{NN}) = \frac{\phi_{N \rightarrow N}(u_{11} u_{NN} u_{11})}{\phi_{N \rightarrow N}(u_{11})} < 1$$

In fact:

$$\mathbb{S}_{N-1}^+ \subsetneq \mathbb{S}_{N \rightarrow N} \subsetneq \mathbb{S}_N^+.$$

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## Random, mixed, & truly quantum permutations

### Definition

Let  $p_Q := \mathbb{1}_{S_N^+} - p_{S_N}$ . Say that  $\varphi \in S_N^+$

- ① is a *(classically) random permutation* if  $\omega_\varphi(p_Q) = 0$ ,
- ② is a *mixed quantum permutation* if  $0 < \omega_\varphi(p_Q) < 1$ ,
- ③ is a *truly quantum permutation* if  $\omega_\varphi(p_Q) = 1$ .

If  $\varphi$  is mixed:

$$\varphi = \omega_\varphi(p_{S_N}) \frac{\omega_\varphi(p_{S_N} \cdot p_{S_N})}{\omega_\varphi(p_{S_N})} + \omega_\varphi(p_Q) \frac{\omega_\varphi(p_Q \cdot p_Q)}{\omega_\varphi(p_Q)}.$$

*	<i>r</i>	<i>m</i>	<i>tq</i>
<i>r</i>	<i>r</i>	<i>m</i>	<i>tq</i>
<i>m</i>	<i>m</i>	<i>m</i>	$\neg r$
<i>tq</i>	<i>tq</i>	$\neg r$	-

## The Haar state is truly quantum

### Theorem

*The Haar state is truly quantum.*

### Proof.

- 1 Assume  $\omega_h(p_{S_N}) > 0$ . Then  $\omega_h(p_\sigma) > 0$  for  $\sigma \in S_N$ . Suppose  $\sigma$  has  $\lambda$  fixed points.
- 2 Where  $\text{fix} := \text{Tr } u$ , it follows that

$$\mathbb{P}[h \text{ has } \lambda \text{ fixed points}] := \omega_h(\mathbb{1}_{\{\lambda\}}(\text{fix})) \geq \omega_h(p_\sigma) > 0.$$

- 3 But for any  $\lambda \in [0, N]$ :

$$\mathbb{P}[h \text{ has } \lambda \text{ fixed points}] = \int_{\{\lambda\}} (2\pi)^{-1} \sqrt{4t^{-1} - 1} dt = 0.$$



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## Truly quantum permutations are wild

Let  $G_0$  be the Kac–Paljutkin quantum group with algebra of functions

$$\mathcal{C}(G_0) = \mathbb{C}f_1 \oplus \mathbb{C}f_2 \oplus \mathbb{C}f_3 \oplus \mathbb{C}f_4 \oplus M_2(\mathbb{C}),$$

$$u^{G_0} := \begin{bmatrix} f_1 + f_2 & f_3 + f_4 & \rho & l_2 - \rho \\ f_3 + f_4 & f_1 + f_2 & l_2 - \rho & \rho \\ \rho^T & l_2 - \rho^T & f_1 + f_3 & f_2 + f_4 \\ l_2 - \rho^T & \rho^T & f_2 + f_4 & f_1 + f_3 \end{bmatrix},$$

$$\implies G_0 \subset S_N^+ \quad (N \geq 4).$$

$$\omega_{E^{11} \circ \pi_{G_0}}(p_{S_N}) = 0 \implies E^{11} \circ \pi_{G_0} \text{ is truly quantum,}$$

As Birkhoff slice is multiplicative,  $\Phi(\varphi_1 \star \varphi_2) = \Phi(\varphi_1)\Phi(\varphi_2)$ .

$$(\omega_{E^{11} \circ \pi_{G_0}})^{\star 2}(p_{S_N}) = 1 \implies (E^{11} \circ \pi_{G_0})^{\star 2} \text{ is random.}$$

Consider for  $0 \leq c \leq 1$ :

$$\varphi := \sqrt{1-c}(E^{11} \circ \pi_{G_0}) + (1 - \sqrt{1-c})h \implies \omega_{\varphi^{\star 2}}(p_Q) = c.$$

## Dynamics

### Definition

A quantum permutation  $\varphi \in \mathcal{S}_N^+$  is called  $\alpha$ -quantum if  $\omega_\varphi(p_Q) = \alpha$ .

### Proposition

If  $\varphi \in \mathcal{S}_N^+$  is  $\alpha$ -quantum and  $\rho \in \mathcal{S}_N^+$  is  $\beta$ -quantum, then

$$\alpha + \beta - 2\alpha\beta \leq \omega_{\varphi*\rho}(p_Q) \leq \alpha + \beta - \alpha\beta.$$

This generalises to other quantum subgroups if  $\mathbb{G} \subseteq \mathcal{S}_N^+$  is such that:

- 1  $h_{\mathbb{G}} := h_{C(\mathbb{G})} \circ \pi_{C(\mathbb{G})}$  has group-like support projection  $p_{\mathbb{G}}$ ,
- 2 exists quantum permutations  $\varphi_1, \varphi_2$  supported “off”  $\mathbb{G}$  ( $\omega_{\varphi_i}(p_{\mathbb{G}}) = 0$ ) such that:
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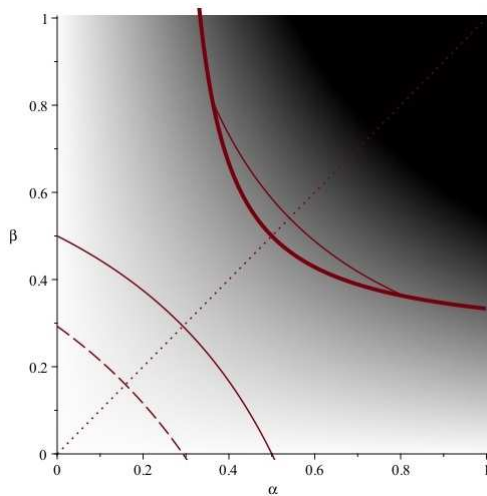
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# 'Phase' Diagram



$$Q_{3I} \subset Q_{2I} \subset Q_I; \quad \partial_W; \quad Q_{\frac{1}{2}W} \subset Q_W.$$

## The quantum part of idempotent states

### Corollary

If  $\phi \in \mathcal{S}_N^+$  is an idempotent state,  $\phi \star \phi = \phi$ , then

$$\omega_\phi(p_Q) \in \{0\} \cup [1/2, 1].$$

This suggests the following study: consider

$$\chi_N := \{\omega_\phi(p_Q) : \phi \in \mathcal{S}_N^+, \phi \star \phi = \phi\}.$$

It is the case that  $\chi_N = \{0\}$  for  $N \leq 3$ , and otherwise not a singleton.

### Corollary

A finite quantum permutation group  $S_N \subsetneq \mathbb{G} \subsetneq \mathcal{S}_N^+$  satisfies:

$$\dim C(\mathbb{G}) \geq 2N!$$

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## Some references

- [M1] J.P. McCarthy, Analysis for idempotent states on quantum permutation groups, (2023), available at [arXiv:2301.13423](https://arxiv.org/abs/2301.13423).
- [M2] J.P. McCarthy, A state-space approach to quantum permutations, *Exp. Math.*, 40(3), (2022), 628–664.